



*4/15/03*  
*13/B*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuart Serkin et al.                      Art Unit : 3627  
Serial No. : 09/401,873                                  Examiner : Jaketic, Bryan J.  
Filed : September 23, 1999  
Title : QUOTE/ORDER PROCESSING IN ELECTRONIC MARKET SYSTEM

**BOX AF**

Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.  
Applicant asks that all claims be examined in view of the amendment to the claims and remarks contained on the following sheets, a total of 9 pages.

This preliminary amendment accompanies a Request for Continued Examination.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

*4/4/03*

*Denis G. Maloney*

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I hereby certify under 37 CFR §1.8(Previously a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

*April 4, 2003*

Signature

*Marie G. Collins*  
*Marie G. Collins*

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